



AUDIT AND GOVERNANCE COMMITTEE:

26 October 2021

Report of: Head of Finance, Procurement and Commercial Services

Contact for further information: Jacqui Pendleton (Extn. 2603)

(E-mail: Jacqueline.pendleton@westlancs.gov.uk)

SUBJECT: INTERNAL AUDIT ACTIVITIES – PROGRESS REPORT

Wards affected: Borough wide

1.0 PURPOSE OF THE REPORT

1.1 To advise of progress against the 2021/22 Internal Audit Plan.

2.0 RECOMMENDATION

2.1 That Members note progress in the year to date.

3.0 BACKGROUND

3.1 This Committee approved the 2021/22 Internal Audit Annual Plan and reports on progress against this plan are presented at each meeting of the Committee.

3.2 This report summarises progress to date for the period 1st April 2021 to 15th October 2021. This work will inform the overall opinion in the Internal Audit Annual Report which is presented to this Committee.

4.0 INTERNAL AUDIT ACTIVITY TO DATE

4.1 An appendix summarising progress to date and any significant issues arising is attached, and the Internal Audit Manager will attend the meeting should Members have any questions.

4.2 Progress against the Internal Audit Annual Plan for the period 1st April 2021 to 15th October 2021 is good with 19 out of 29 audit reviews either commenced, nearing completion or completed.

5.0 SUSTAINABILITY IMPLICATIONS

- 5.1 There are no significant sustainability impacts associated with this report and, in particular, no significant impact on crime and disorder.

6.0 FINANCIAL AND RESOURCE IMPLICATIONS

- 6.1 The financial and resource implications arising from activity identified in this report are included in existing budget provisions.

7.0 RISK ASSESSMENT

- 7.1 This report summarises progress against Internal Audit's work programme to date. Internal Audit's work is a key source of assurance to this Committee in relation to the risk management, control and governance processes the Council has in place to secure its objectives.

Background Documents

There are no background documents (as defined in Section 100D(5) of the Local Government Act 1972) to this Report.

Equality Impact Assessment

The decision does not have any direct impact on members of the public, employees, elected members and / or stakeholders. Therefore, no Equality Impact Assessment is required.

Appendices

1. Internal Audit Activities – Progress Report