



**AUDIT AND GOVERNANCE COMMITTEE:**  
**31 January 2023**

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**Report of: Head of Finance, Procurement and Commercial Services**

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**SUBJECT: INTERNAL AUDIT ACTIVITIES – PROGRESS REPORT**

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Wards affected: Borough wide

## **1.0 PURPOSE OF THE REPORT**

1.1 To advise of progress against the 2022/23 Internal Audit Plan.

## **2.0 RECOMMENDATION**

2.1 That Members note progress in the year to date.

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## **3.0 BACKGROUND**

3.1 This Committee approved the 2022/23 Internal Audit Annual Plan and reports on progress against this plan are presented at each meeting of the Committee.

3.2 This report summarises progress to date for the period 1 April 2022 to 15 January 2023. This work will inform the overall opinion in the Internal Audit Annual Report which is presented to this Committee.

## **4.0 INTERNAL AUDIT ACTIVITY TO DATE**

4.1 An appendix summarising progress to date and any significant issues arising is attached, and the Corporate Compliance & Governance Manager will attend the meeting should Members have any questions.

4.2 Progress against the revised Internal Audit Annual Plan for the period 1 April 2022 to 15 January 2023 is satisfactory with 69% of planned work completed.

## **5.0 SUSTAINABILITY IMPLICATIONS**

- 5.1 There are no significant sustainability impacts associated with this report and, in particular, no significant impact on crime and disorder.

## **6.0 FINANCIAL AND RESOURCE IMPLICATIONS**

- 6.1 The financial and resource implications arising from activity identified in this report are included in existing budget provisions.

## **7.0 RISK ASSESSMENT**

- 7.1 This report summarises progress against Internal Audit's work programme to date. Internal Audit's work is a key source of assurance to this Committee in relation to the risk management, control and governance processes the Council has in place to secure its objectives.

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### **Background Documents**

There are no background documents (as defined in Section 100D(5) of the Local Government Act 1972) to this Report.

### **Equality Impact Assessment**

The decision does not have any direct impact on members of the public, employees, elected members and / or stakeholders. Therefore, no Equality Impact Assessment is required.

### **Appendices**

1. Internal Audit Activities – Progress Report